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PATENT *Shingale*/82  
81784.0241

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KOGA, et al.

Serial No: 09/927,794

Filed: August 8, 2001

For: Display Device and Semiconductor  
Device Having Laser Annealed  
Semiconductor Elements

Art Unit: 2811

Examiner: Crane, S.W.

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence  
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June 8, 2004

Date of Deposit

Shingale Ferguson

Name

*Shingale Ferguson* June 8, 2004

Signature

Date

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 10 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a Notice of Grounds for Rejection and Decisions of Final Rejection for a counterpart application.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: 

Anthony J. Orler  
Registration No. 41,232  
Attorney for Applicant(s)

Date: June 8, 2004

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701

Docket Number (Optional)  
81784.0241Application Number  
09/927,794**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

JUN 14 2004 (Use several sheets if necessary)

Applicant

KOGA, et al.

Filing Date

August 8, 2001

Group Art Unit

2811

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,057,183(counterpart Japanese Patent No. 8-062637)	05/02/00	KOYAMA, et al.			
	5,767,529 (counterpart Japanese Patent No. 8-264802)	06/16/98	KOBORI, et al.			
	5,766,989 (counterpart Taiwanese Patent No. 303526 and Japanese Patent No. 8-195492)	06/16/98	MAEGAWA, et al.			
	5,432,122 (counterpart Japanese Patent No. 7-106599)	07/11/95	Kie CHAE			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	8-062637(counterpart U.S. Patent No. 6,057,183)	03/08/96	JAPAN			Abstract	
	8-264802 (counterpart U.S. Patent No. 5,767,529)	10/11/96	JAPAN				X
	303526 (counterpart U.S. Patent No. 5,766,989 and Japanese Patent No. 8-195492)	12/19/84	TAIWAN				X
	8-195492 (counterpart U.S. Patent No. 5,766,989 and Taiwanese Patent No. 303526)	07/30/96	JAPAN				X
	97/23806	07/03/97	WO			Abstract	
	7-106599 (counterpart U.S. Patent No. 5,432,122)	04/21/95	JAPAN				X

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.